

## SUM-FREQUENCY GENERATION: POLARIZATION SURFACE SPECTROSCOPY ANALYSIS OF THE VIBRATIONAL SURFACE MODES ON THE BASAL FACE OF ICE Ih

Henning Groenzin, Irene Li, and Mary Jane Shultza
Pearson Chemistry Laboratory, Tufts University, Medford, USA
I. INTRODUCTION
II. EXPERIMENT

Setup
Sample
III. THEORY

Polarization angle null analysis
IV. RESULTS
V. DISCUSSION
VI. SUMMARY

## INTRODUCTION

- This paper reports results of a newly developed polarization analysis of the generated sumfrequency signal as a function of wavelength both to deconvolute spectral resonances and to characterize the dynamic polarization associated with the resonances
- The technique is termed polarization-angle null analysis or PAN


## EXPERIMENTAL SETUP



Energies for SFG on ice surfaces:
$I^{v i s}=170 \mu J$
$I^{I R}=40 \mu J$

Beam diameters:
for $\mathrm{IR} \Rightarrow 1.1 \mathrm{~mm}$
for vis. $\Rightarrow 1.4 \mathrm{~mm}$

- The mid-IR beam is directed over gold mirrors onto the top of the sample
- The visible beam consists of the doubled YAG fundamental and is spatially and temporally overlapped with the IR at the sample
- The SF signal is collected by broad band coated ( 400 nm ), front-surface silver mirrors and focused onto the slit of a monochromator


## Sample preparing

## - Single-crystal ice samples

- 1 in. diameter, 4 in. long

http://www.lsbu.ac.uk/water/ice1h.htm

- a dislocation density $-10^{2} / \mathrm{cm}^{2}$ on the basal surface
- the growth process, quality control, and evaluation of the crystal $\Rightarrow \mathrm{J}$. Chem. Phys. 127, 214502 (2007)
- An $\sim 4 \mathrm{~mm}$ thick slice of the crystal is mounted on an indium coated copper platform inside a sealed stainless steel sample cell and conduction cooled by a liquid nitrogen bath.
- The cell is sealed with a $5-8 \mathrm{~mm}$ thick IR-quartz window to allow beam access.
- The thermocouple is welded to the sample surface prior to cooling by placing a drop of nanopure water onto the sample and pressing the thermocouple into the drop until it freezes.



## Polarization angle null analysis

- The intensity of the SF beam detected at a certain polarization

$$
I\left(\omega^{s}\right)=A\left|E^{s}\left(\omega^{s}\right) \cdot \chi: E^{v i s}\left(\omega^{v i s}\right) E^{I R}\left(\omega^{I R}\right)\right|^{2}
$$

- For sixfold symmetry, the hyperpolarizability tensor contains only seven nonzero elements $\chi_{x x z}, \chi_{y y z}, \chi_{x z x}, \chi_{y z y}, \chi_{x x z}, \chi_{z y y}$, and $\chi_{z z z}$
- For the PAN analysis the polarization of the IR beam is fixed at $p$ polarization $\Rightarrow$ the SFG intensity is given by

$$
\begin{aligned}
& I^{s}\left(\theta_{1}^{s}, \theta_{1}^{v i s}\right)=\left(-L_{x x}^{s} \cos \eta_{1}^{s} \cos \theta_{1}^{s} \chi_{x x z} L_{z z}^{I R} L_{x x}^{v i s} \sin \eta_{1}^{I R} \cos \eta_{1}^{v i s} \cos \theta_{1}^{v i s}\right. \\
& -L_{x x}^{s} \cos \eta_{1}^{s} \cos \theta_{1}^{s} \chi_{x z}^{I R} L_{x x}^{I R} L_{z z}^{v i s} \cos \eta_{1}^{I R} \sin \eta_{1}^{v i s} \cos \theta_{1}^{v i s}+L_{y y}^{s} \sin \theta_{1}^{s} \cos \theta_{1}^{s} \chi_{y y} L_{z z}^{I R} L_{y y}^{v i s} \sin \eta_{1}^{I R} \sin \theta_{1}^{v i s} \\
& \left.+L_{z z}^{s} \sin \eta_{1}^{s} \cos \theta_{1}^{s} \chi_{z x x} L_{x x}^{R} L_{x x}^{i s} \cos \eta_{1}^{I R} \cos \eta_{1}^{v i s} \cos \theta_{1}^{v i s}+L_{z z}^{s} \sin \eta_{1}^{s} \cos \theta_{1}^{s} \chi_{z z z} L_{z z}^{I R} L_{z z}^{i s} \sin \eta_{1}^{I R} \sin \eta_{1}^{v i s} \cos \theta_{1}^{v i s}\right)^{2} I^{v i s} I^{I R}
\end{aligned}
$$

- The $\chi_{\mathrm{xxz}} / \chi_{\mathrm{zzz}}$ ratio can be obtained from PAN analysis by setting equal to zero

$$
\begin{gathered}
\frac{\chi_{x z s}}{\chi_{z z}}=\left(\frac{B}{D}-\frac{C}{D} \tan \theta_{0}^{s}\right)^{-1} \\
B=\cos \eta_{1}^{s} \cos \eta_{1}^{v i s} L_{x x}^{s} L_{x i}^{v i s} \cos \theta_{1}^{v i s}, C=L_{y y}^{L} L_{x y}^{v i s} \sin \theta_{1}^{v i s}, D=\sin \eta_{1}^{s} \sin \eta_{1}^{v i} L_{z z}^{s} L_{z}^{v i s} \cos \theta_{1}^{i s i}
\end{gathered}
$$

## RESULTS

- SFG spectroscopy is used to determine the orientation of molecules on a surface $\Rightarrow$ can be deduced from the ratio of $\chi_{x x z} / \chi_{z z z} \Rightarrow$ is calculated from the measured ssp and ppp intensities
- Both calculations result from the experimental setup: all beams travel through the cell window, which is at an angle to the beams.
- Since the infrared beam is $p$ polarized, it remains $p$ polarized upon transmission through the window, hence is $p$ polarized at the sample.
- The visible beam is incident on the sample window at a $45^{\circ}$ polarization.
- This method is chosen because the Fresnel and optical factors change with the visible polarization angle.
- The ppp spectrum was chosen for the initial identification of the surface oscillators.


| Peak | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Center $\left(\mathrm{cm}^{-1}\right)$ | 3098 | 3132 | 3211 | 3281 | 3393 |
| Width $\left(\mathrm{cm}^{-1}\right)$ | 16.6 | 38.7 | 25.9 | 28.4 | 35.1 |

- Surface sum-frequency spectra are commonly fit with a Lorentzian line shape.
- The natural line shape for ice is obscured because the SFG spectrum of the ice surface originates from a hydrogen-bonded network, oscillating in a collective motion.
- Gaussian lines are used to fit the ice spectra.
- Attempts to fit the spectra using phase-corrected Lorentzians could not reproduce the steep rise on the red side of $3100 \mathrm{~cm}^{-1}$
- Fits with five oscillators showed good fit stability and fit the experimental data reasonably well.
- Using fewer than five oscillators does not reproduce the polarization angle dependence of the spectra.
- The determined centers and widths of the five Gaussians are tabulated in Table I.
- The SFG intensity equation with the simplification due to $\chi_{x x z} \backslash \chi_{z z z}$

$$
I^{s}=\tilde{A}\left[\left(E D \chi_{z z z}-E B \chi_{x x z}\right) \cos \theta_{1}^{s}+E C \chi_{x x z} \sin \theta_{1}^{s}\right]^{2}
$$

- $\tilde{A}$ and E scale $\chi_{\mathrm{xxz}}$ and $\chi_{z z z}$ but do not affect the ratio, so $\chi_{\mathrm{xxz}}$ and $\chi_{z z z}$ are used as fitting parameters $P_{1}$ and $P_{2}$,

$$
I^{s}=\tilde{A}\left[\left(D P_{1}-B P_{2}\right) \cos \theta_{1}^{s}+C P_{2} \sin \theta_{1}^{s}\right]^{2}
$$

- The effect of unequal attenuation on the visible beam is calculated from the Fresnel factors and the input angle

$$
\tan \theta_{1}^{\text {vis }}(\text { after window })=\frac{L_{s s}^{\text {lin }}}{L_{p p}^{\text {lin }}} \tan \theta_{1}^{\text {iis }}(\text { before window })
$$

- For an input polarization at $45^{\circ}$ the actual polarization at the sample is $39^{\circ}$ resulting in the following values for the geometric parameters $B, C$, and $D: B=0.3031 ; C=0.3542 ; D=0.2821$.
- The surface polarization at the sample, $\theta_{1}^{s, s u r f}$, can be calculated by
$\tan \theta_{1}^{\text {s.suf }}($ at the sample $)=\frac{L_{p p}^{\text {in }}}{L_{\text {p }}^{\text {in }}} \tan \theta_{1}^{\prime}($ at the detector $)$
- The PAN angles $\left(\theta_{0}^{S}\right)$ obtained from the fit are listed in Table II.

| Oscillator $\left(\mathrm{cm}^{-1}\right)$ | $\boldsymbol{\theta}_{0}^{S}$ | $\boldsymbol{\theta}_{0}^{s, \text { surf }}$ | $\boldsymbol{P}_{2}=\chi_{x x z}$ | $\boldsymbol{P}_{1}=\chi_{z z z}$ | $\chi_{x x z} / \chi_{x x z}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3098 | 36 | 42 | 1.86 | -0.09 | -20.07 |
| 3132 | 52.5 | 58.5 | 1.02 | -0.98 | -1.04 |
| 3211 | 67 | 71 | 0.48 | -1.26 | -0.38 |
| 3281 | 74 | 77 | 0.26 | -1.15 | -0.23 |
| 3393 | 82 | 84 | 0.10 | -1.01 | -0.1 |



## DISCUSSION

$\checkmark$ The polarization direction depends on the relative values of the surface hyperpolarizabilities $\chi_{x x z}$ and $\chi_{z z z}$.
$\checkmark$ Figure 4 shows the dynamic polarizability: The surface response is a hyperpolarizability, a product of the dynamic polarizability ellipsoid and the transition dipole
$\checkmark$ The product, the hyperpolarizability, is illustrated in Fig. 5
$\checkmark$ A -ve ratio means that during the vibration the polarizability in one direction increases while the polarizability in the other direction diminishes: the polarizations are out of phase.
$\checkmark \quad$ A +ve sign indicates that the transverse and longitudinal components of the polarizability change in concert.
$\checkmark$ The SF polarization angle null PAN is determined by the relative magnitude and sign of the $\chi_{x x z}$ and $\chi_{z z z}$ components, resulting in a rotation of the lobes of the SFG intensity.


FIG. 4. Color Polarizability ellipsoids for the five oscillators on the basal face of ice Ih .


FIG. 5. a) The $x x z$ and $z z z$ contributions to the SFG intensity as a function of the analyzer polarization angle for three representative modes. The dashed lobes all have the same phase. b) The relative SFG amplitude as a function of the analyzer polarization angle, again the dashed lines indicate the same phase.

## SUMMARY

- There are at least five identifiable oscillators within the hydrogen-bonded region in PAN.
- The longest wavelength resonance has a dynamic polarizability that is parallel to the surface normal.
- With increasing frequency, the modes are increasingly longitudinal.
- The shortest wavelength hydrogen-bonded peak is predominately longitudinal.
- Previous experiments have shown that the shortest wavelength resonances are the most fragile, the most sensitive to disturbances of the surface.
- The coupling in the longitudinal modes is easily disrupted, but the transverse modes are robust.
- Within the dipole approximation, the sum-frequency intensity shows a $\sin \left(\boldsymbol{\theta}-\boldsymbol{\theta}_{0}\right)$ dependence on rotation of a polarizer in the sum-frequency beam, where $\boldsymbol{\theta}_{0}$ is the null angle.
- The longest wavelength resonance shows a deviation from this dipolar dependence.

